THE DIAGONALIZATION METHOD AND BROCARD'S PROBLEM

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ABSTRACT. In this paper we introduce and develop the method of diagonalization of functions $f : \mathbb{N} \longrightarrow \mathbb{R}$. We apply this method to a class of problems requiring to determine if the equations of the form $f(n) + k = m^2$ has a finite number of solutions $n \in \mathbb{N}$ for a fixed $k \in \mathbb{N}$.

1. Introduction and problem statement

Brocard's problem is an unsolved problem which - roughly speaking - asks if the set of integers whose factorials are unit left translate of a square is either finite or infinite. As the origin of the problem suggests, it was first formulated by the French mathematician Henri Brocard around 1876 and 1885 and subsequently rediscovered by the Indian mathematician Srinivasa Ramanujan in 1913. More formally the problem states

Problem 1.1. Does the equation $n! + 1 = m^2$ has integer solutions other than $4, 5, 7$?

It is widely believed any other solutions to Brocard's equation - if they exist - must be finite. In fact, Paul Erdős cojectured that no further solution to Brocard's equation exists. It has also been verified computationally for numbers up to 10^9 for a posssible solution to the equation and within this threshold no further solution to Brocard's problem has been found [4]. Albeit the problem remains unsolved, a good number of theoritical progress has been made. The first major progress has been made by M. Overholt, who showed that there are only a finite number of integer solutions to the Brocard equation $n! + 1 = m^2$ by assuming the ABC conjecture [1]. These result has also been extended to equations with arbitrary shifts of the form $n! + A = m^2$ [2]. A further extension has been made in [3] where it is shown that the equation $n! = P(x)$, where $P(x)$ is a polynomial of degree at least two, also has a finite number of solution by assuming the ABC conjecture.

In this paper by introducing the notion of **diagonalization** of functions, we derive an inequality and apply to study Brocard's problem.

2. The notion of diagonalization

In this section we introduce and study the notion of **diagonalization** of a function. We study this notion together with associated statistics and explore some applications.

Definition 2.1. Let $f : \mathbb{N} \longrightarrow \mathbb{R}$. Then we say f is k - step **diagonalizable** at the spot $n \in \mathbb{N}$ if there exists some $m \in \mathbb{N}$ such that

$$f(n) + k = m^2.$$

We call the set of all spots $n \in \mathbb{N}$ such that f is k - step diagonalizable the kth - step diagonal of f and denote by $\mathcal{D}_k(f)$. We call the set of all truncated spots $\mathcal{D}_k(f) \cap \mathbb{N}_s := \mathcal{D}_k(f,s)$ the sth scale diagonal. We call the set of all squares

$$\mathbb{B}_k(f) := \left\{ m^2 \in \mathbb{N} \mid f(n) + k = m^2 \right\}$$

the kth-step diagonal squares. We write the length of this diagonal as

$$|\mathcal{D}_k(f,s)| := \#\{n \le s \mid f(n) + k = m^2\}.$$

It is easy to see that $|\mathcal{D}_k(f,s)| < s$

2.1. The s-level trace of the diagonal.
In this section we introduce the notion of the trace of the diagonal. We launch and examine the following languages.

Definition 2.2. By the sth level trace of the diagonal $\mathcal{D}_k(f)$, denoted $\mathbb{T}_f(s,k)$, we mean the partial sum

$$\mathbb{T}_f(s,k) := \sum_{\substack{n \le s \\ n \in \mathcal{D}_k(f)}} f(n).$$

Let us suppose that f is a function with continuous derivative on $[1,s]$ for $s \ge 1$ with $s \in \mathbb{R}$, then by applying the Stieltjes integration by parts, we can write the sth level trace of the diagonal in the form

$$\mathbb{T}_f(s,k) := \sum_{\substack{n \le s \\ n \in \mathcal{D}_k(f)}} f(n)$$

$$= \int_{1^-}^{s} f(t)d|\mathcal{D}_k(f,t)|$$

$$= f(s)|\mathcal{D}_k(f,s)| - \int_{1}^{s} f'(t)|\mathcal{D}_k(f,t)|dt.$$

Theorem 2.3 (Diagonal inequality). *Let f be a function with continuous derivative on $[1,s]$ for $s \ge 1$ with $s \in \mathbb{R}$. If*

$$\mathcal{D}_k(f,s) - \frac{1}{f(s)}\left(\sqrt{\int_{1}^{s}|f'(t)|^2dt}\right) \times \left(\int_{1}^{s}|\mathcal{D}_k(f,t)|^2dt\right)^{\frac{1}{2}} \ge 0$$

for all $s \ge 1$ then the inequality holds

$$\left(\int_{1}^{s}|\mathcal{D}_k(f,t)|^2dt\right)^{\frac{1}{2}} \ll \left(\frac{1}{f(s)}\sum_{n \le s}f(n)\right)\left(1 - \frac{1}{f(s)}\sqrt{\int_{1}^{s}|f'(t)|^2dt}\right)^{-1}.$$

Proof. By appealing to the ensuing discussion, we obtain the upper bound

$$|\mathcal{D}_k(f,s)| \leq \frac{1}{f(s)} \sum_{n \leq s} f(n) + \frac{1}{f(s)} \int_1^s f'(t)|\mathcal{D}_k(f,t)|dt$$

so that by appealing to the Cauchy-Schwartz inequality, we obtain further the upper bound

$$|\mathcal{D}_k(f,s)| \leq \frac{1}{f(s)} \sum_{n \leq s} f(n) + \frac{1}{f(s)} \left(\int_1^s |f'(t)|^2 dt \right)^{\frac{1}{2}} \times \left(\int_1^s |\mathcal{D}_k(f,t)|^2 dt \right)^{\frac{1}{2}}.$$

By rearranging terms, appealing to the condition

$$\mathcal{D}_k(f,s) - \frac{1}{f(s)} \left(\sqrt{\int_1^s |f'(t)|^2 dt} \right) \times \left(\int_1^s |\mathcal{D}_k(f,t)|^2 dt \right)^{\frac{1}{2}} \geq 0$$

and noting that

$$\left(\int_1^s |\mathcal{D}_k(f,t)|^2 dt \right)^{\frac{1}{2}} \geq |\mathcal{D}_k(f,s)|$$

for all $s \geq 1$, then the claimed inequality holds. $\square$

Proposition 2.3 supplies a useful inequality to study Brocard's problem, which ask whether there exists a finite number of solutions to the equation $n! + 1 = m^2$. The current development can be leveraged to study a much more general version of the problem. By applying the **Diagonal** inequality we obtain

Proposition 2.1 (The Diagonal method)**.** *Let*

$$|\mathcal{D}_k(f,s)| - \frac{1}{f(s)} \left(\sqrt{\int_1^s |f'(t)|^2 dt} \right) \times \left(\int_1^s |\mathcal{D}_k(f,t)|^2 dt \right)^{\frac{1}{2}} \geq 0$$

for all $s \geq 1$. If

$$\lim_{s \longrightarrow \infty} \left(\frac{1}{f(s)} \sum_{n \leq s} f(n) \right) \left(1 - \frac{1}{f(s)} \sqrt{\int_1^s |f'(t)|^2 dt} \right)^{-1} < \infty$$

then the equation $f(n) + k = m^2$ has only a finite number of solutions in $\mathbb{N}$ for a fixed $k \in \mathbb{N}$.

Proof. Appealing to Proposition 2.3, it follows under the requirements that $|\mathcal{D}_k(f)| < \infty$, and the claim follows immediately. $\square$

Remark 2.4*.* The upper bound derived in Proposition 2.3 supplies a somewhat useful tool to study the size of the quantity

$$\#\{n \leq s \mid f(n) + k = m^2\}$$

and in particular Brocard's problem which asks if the set of integers whose factorials are unit left translate of a square is either an infinite set or a finite set. It is worth noting that the upper bounds we have derived do not depend on the size of the

shift but on the underlying function. This uniformity does suggest the actual size of the quantity

$$\#\{n \leq s \mid f(n) + k = m^2\}$$

will mostly be influenced by the function under consideration. In various circumstances the ease with which to verify the underlying conditions will inform the category of bounds to exploit. Now we apply the *Diagonal method* to study Brocard's problem.

Lemma 2.5. *The estimate holds*

$$\left(\int\limits_1^s |\mathcal{D}_k(f,t)|^2 dt \right)^{\frac{1}{2}} \asymp |\mathcal{D}_k(f,s)|^{\frac{3}{2}}.$$

Lemma 2.6. *There exists some $1 > \epsilon > 0$ such that*

$$\frac{1}{\Gamma(s)} \sqrt{\int\limits_1^s |\Gamma'(t)|^2 dt} \asymp \frac{1}{s^{\frac{1}{2}+\epsilon}}.$$

Theorem 2.7 (Brocard)**.** *The equation $\Gamma(s) + k = m^2$ has finitely many solutions $s \in \mathbb{N}$ for a fixed $k \in \mathbb{N}$, where Γ is the Euler Gamma function.*

Proof. We first apply Lemma 2.6 and notice that

$$\left(\int\limits_1^s |\mathcal{D}_k(f,t)|^2 dt \right)^{\frac{1}{2}} \asymp |\mathcal{D}_k(f,s)|^{\frac{3}{2}} \ll |\mathcal{D}_k(f,s)|\sqrt{s}$$

since $|\mathcal{D}_k(f,s)| < s$. It suffices to check that

$$\lim_{s \longrightarrow \infty} \frac{1}{\Gamma(s)} \sum_{n \leq s} \Gamma(n) < \infty$$

and that

$$\lim_{s \longrightarrow \infty} \frac{1}{\Gamma(s)} \sqrt{\int\limits_1^s |\Gamma'(t)|^2 dt} < \infty$$

and using the inequality

$$(\int\limits_1^s |\mathcal{D}_k(\Gamma,t)|^2 dt)^{\frac{1}{2}} \geq |\mathcal{D}_k(\Gamma,s)|.$$

$\square$

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