

# How the Urban Heat Island Effect Influences the CO<sub>2</sub> Doubling Temperature and its Implications

Alec Feinberg, Ph.D.

DfRSoft@gmail.com

[10.13140/RG.2.2.10938.75201](https://doi.org/10.13140/RG.2.2.10938.75201) Vixra: 2004.0064

**Key Words:** Urban Heat Islands, Albedo goals, global warming causes, global warming feedback, global warming amplification effects, CO<sub>2</sub> doubling temperature, CO<sub>2</sub> doubling theory, IPCC albedo goals

## Abstract

*Global warming has both root causes and amplification feedback effects. The main root cause, believed to be CO<sub>2</sub> and other greenhouse gas, then creates many feedback amplification mechanisms such as loss of ice and snow albedo decrease, increase in atmospheric water vapor and so forth. The strength of the CO<sub>2</sub> mechanism is often assessed by its doubling theory. However, such estimates rely on the fact that CO<sub>2</sub> is the primary root cause. Numerous authors including this one have found the Urban Heat Island effect to be significant and should for many reasons be part of our goals in combating global warming problems. Therefore, if one quantifies the UHI effect, it must affect the CO<sub>2</sub> doubling theory through the attrition factor. In this paper we provide a short overview to illustrate how the CO<sub>2</sub> doubling temperature is influenced by the UHI effect. We also discuss its implications related to a lack of UHI albedo goals by governing climate change organizers.*

## 1. Introduction

The subject of UHI effects having significant contributions to global warming is important. The contention that global warming corrective action goals be primarily focused on CO<sub>2</sub> is very risky as it encourages one to neglect the UHI issue. In actuality, this has been stated mathematically in the literature (see Table 2) using doubling theory giving one the false sense that the doubling temperature should be estimated without any influence from the UHI effect. Ignoring the UHI effect is unrealistic where many authors have now shown significance. One well known paper, McKittrick and Michaels (2007), found that the net warming bias at the global level indicated that the UHI effect may explain as much as half the observed land-based warming. This study was criticized (Schmidt 2009) and defended for a period of about 10 years by McKittrick (see McKittrick Website). Other authors have also found significance (Zhao, 1991; Feddema et al., 2005; Ren et al., 2007, 2008; Jones et al., 2008; Stone, 2009; Zhao, 2011; Yang et al. 2011, and Haung et al. 2015). These studies used land based temperature station data to make estimates. In a recent study by the author (Feinberg 2020), this contention was supported using a totally different approach with a weighted amplified albedo solar urbanization model supplemented with footprint studies (Zhang et al., 2004; Zhou et al., 2015) for UHI amplification factors and global feedback mechanisms. Significance was observed as a result of incorporating UHI amplification effects (see Table 1) through the footprint method, so that the effective area was much larger than its own urbanized area.

**Table 1** Global Warming Cause and Effects

<b>Global Warming Causes →</b>	Population → Expanding Urban Heat Islands (UHI), Roads & Increases in Greenhouse Gas
<b>Global Warming Amplification Effects →</b>	Increase in Specific Humidity, Decrease in Relative Humidity, Decrease in Land Albedo Due to Cities & Roads, Decrease in Water Type Areas from Loss of Albedo (Reflectivity) due to Ice and Snow Melting
<b>Urban Heat Island Amplification Effects →</b>	UHI Solar Heating Area (Building Areas), UHI Building Heat Capacities, Humidity Effects and Hydro-Hotspots, Reduced Wind Cooling, Solar Canyons, Loss of Wetlands, Increase in Impermeable Surface, Loss of Evapotranspiration Natural Cooling.

Table 1 lists the global warming causes and amplification effects (Feinberg 2020). As one can see from the table, UHI effect is a global warming root cause with its own set of amplification effects. Just as the global climate system has its own sensitive amplification effects, the UHI complex amplification effects are also significant and are perhaps best assessed using what is termed as the UHI footprint (Feinberg, 2020; Zhang et al., 2004; Zhou et al., 2015). This is influential in CO<sub>2</sub> doubling temperature and will be considered from this perspective.

## 2. Review of the Timeline of CO<sub>2</sub> Doubling Theory

Greenhouse theory and early predictions started as far back as 1856 with CO<sub>2</sub> experiments by Foote, Tyndall in 1859, and what has become very popular, doubling theory by Arrhenius in 1896. Since Arrhenius, doubling temperature estimates based on theory and linked to environmental trends, have decrease as shown in Table 2. The doubling temperature, originally 5-6°C estimated by Arrhenius, shows a range with the last estimates now between 1.5 to 4.5°C per the IPCC. Doubling temperature is logarithmic with PPM of CO<sub>2</sub> as shown in Equation 1.

$$\Delta DT_{CO_2} \text{ Ln}(412/311.8)/\text{Ln}2 = Ax(14.85^\circ\text{C}-13.9^\circ\text{C}) \quad (1)$$

A is the attribution factor for anthropogenic CO<sub>2</sub>. When A is 1, the doubling temperature DT<sub>CO<sub>2</sub></sub> is 2.36°C. This is very close to the Manabe and Wetherald (1975) estimate in the Table. Since other GHGs are partly responsible, then A is <1. In this equation we are using CO<sub>2</sub> 2019 estimates versus the reference year 1951. Because 2019 is recent, this equation represents a TCR (transient Climate Response) value. The ECS (Equilibrium Climate Sensitivity) value is say 1.25-1.65 larger.

- ***In general, this equation is based on real data, not theory. It is basically equivalent to experimental global results. So we should not take it lightly.***

In general, the TCR doubling temperature value Ax2.36°C, is the temperature increase that one would expect if we doubled CO<sub>2</sub> from 312 to 624ppm. Then we would get another Ax2.36°C increase if we again doubled it to 1248ppm. The rate and magnitude of global climate change is determined by radiative forcing, climate feedbacks and the storage of energy by the climate system. Because A<1, it is difficult to obtain published ECS estimates shown in Table 2 and if we incorporate the UHI effect, it would make things even more difficult. Then in general, it is reasonable to detail this variable further.

**Table 2** Key CO<sub>2</sub> doubling theory history and conflicts

Reference	CO <sub>2</sub> ECS Doubling Temperature
Arrhenius,1896	5-6°C
Gilbert Plass,1950's	3.6°C
Manabe and Wetherald,1975	2.3°C
IPCC (1 <sup>st</sup> -5 <sup>th</sup> Assessment 1990-2014, (ECS) equilibrium change	1.5 - 4.5°C
Current Trend, Eq. 1. Based on going from 311.8ppm to 412 PPM from 1951 to Dec 2019, with a 0.95°C (1.71°F) rise	2.36°C *

\*Ignoring other GHG

## 3. CO<sub>2</sub> Doubling Theory Estimates with UHI Influence

Equation 1 can be solved for the doubling temperature DT<sub>CO<sub>2</sub></sub> as

$$DT_{CO_2} = \frac{A x \Delta T_{CO_2 + \text{Effects}}}{\text{Ln}(CO_{2(2019)}/CO_{2(1950)})/\text{Ln}2} \quad (2)$$

In this case  $\Delta T_{CO_2 + \text{Effect}} = 0.95^\circ\text{C}$ ,  $CO_{2(2019)} = 412\text{ppm}$ , and  $CO_{2(1950)} = 311.8\text{ppm}$  (1951 and 2019 ppm and  $\Delta T$  estimates from NASA databases), giving

$$DT_{CO_2} = \frac{A x 0.95^\circ\text{C}}{\text{Ln}(412/311.8)/\text{Ln}2} = Ax2.36^\circ\text{C} \quad (3)$$

as expected from Equation 1. Here CO<sub>2</sub> is treated as the main cause of warming and this include all amplification effects such as increase in water vapor greenhouse gas (due to the fact that warm air holds more moisture), snow and ice melting etc.

For example we might estimate that CO<sub>2</sub> is responsible for 1/3 of global warming and the amplification feedback effects are causing ~2/3. There is a wide range of estimates of climate feedback sensitivity driven by uncertainties in how water vapor, clouds, and other factors change as the Earth warms. Climate feedbacks are mixed and some will

amplify (positive feedback) or diminish the effect of warming from the root cause effects (see for example Hausfather 2018). The actual feedback is known to be positive (van Nes, 2015). For example, water-vapor feedback alone, which is one of the most important in our climate system, is thought to have the capacity to about double the direct warming (Manabe and Wetherald, 1967; Randall et al., 2007, Dessler et. al, 2008). Then incorporating the feedback, we can breakdown A as follows:

$$DT_{CO_2} = \frac{0.95^\circ C \{A\}}{\ln(412/311.8)/\ln 2} = \frac{0.95^\circ C \{X_{CO_2} + X_{Feedback} (1 - X_{Other\_GHG}) - X_{Other\_GHG}\}}{\ln(412/311.8)/\ln 2} \quad (4)$$

In this section we will start by letting  $X_{CO_2}=1/3$ ,  $X_{Feedback}=2/3$ , and let  $X_{Other\_GHG}\approx 0$  for the moment. The  $X_{Other\_GHG}$  is for other GreenHouse Gas (GHG) which is not easily estimates. However it is another root-cause source (so their temperature influence would need to be subtracted out, as well it would reduce the CO<sub>2</sub> feedback amount proportionally. However, for the moment it is assumed negligible ( $X_{Other\_GHG}\approx 0$ ) as a first estimates.

If we have another main root cause, the UHI effect, then the doubling temperature is diminished similarly to the way we had written it for  $X_{Other\_GHG}$ . Let's say for example that UHI effect causes

$$FX_{UHI}=f_{UHI} \quad (5)$$

fraction of global warming. Here F represents an average UHI amplification factor (Table 1 also see Feinberg, 2020; Zhang et al., 2004; Zhou et al., 2015) on  $X_{UHI}$  fraction contribution to global warming.  $X_{UHI}$  represents what one might calculate the UHI effect to be without the amplification factor. For example, in Feinberg 2020, F is identified between 3.1 and 8.4. When the amplification effect is factored in, one might find that  $f_{UHI}$  is reasonably significant in doubling theory. In this assessment, it is treated linearly in Equation 5. While simplistic, it demonstrates the modeling effect. Therefore, it provides insight in demonstrating the possible strong significance of the UHI amplification factor. Incorporating this fractional effect, then the doubling equation becomes

$$DT_{CO_2} = \frac{\Delta T_{CO_2+Effects} \{(X_{CO_2} + X_{Feedback} (1 - f_{UHI}) - f_{UHI})\}}{\ln(CO_{2(2019)}/CO_{2(1950)})/\ln 2} \quad (6)$$

We also assume that it shares the amplification feedback effect of  $X_{Feedback}$  proportionally, so the CO<sub>2</sub> feedback is then diminished by  $X_{Feedback}(1-f)$ . For Example if UHI effect causes 20% of global warming; now  $X_{Feedback}$  is reduced to  $0.8 X_{Feedback}$ .

Furthermore, the temperature change of  $0.95^\circ C$  due to global warming attributed to CO<sub>2</sub> is reduced due to UHI effect. For example, if UHI causes 20% of global warming (i.e.  $0.95^\circ C$ ), then 20% of  $0.95^\circ C=0.19^\circ C$  is subtracted in the attrition. In this example where  $X_{CO_2}=1/3$  and  $X_{Feedback}=2/3$ ,  $f=0.2$  we have

$$DT_{CO_2} = \frac{0.95^\circ C \{1/3+2/3(0.8)-0.2\}}{\ln(412/311.8)/\ln 2} = \frac{0.633^\circ C}{\ln(412/311.8)/\ln 2} = 1.57^\circ C \quad (7)$$

Here the global warming CO<sub>2</sub> doubling temperature is diminished form  $2.36^\circ C$  to  $1.57^\circ C$  due to the fact that UHI effect is responsible for 20% of global warming. In this case  $A=0.666$

To check our results, we solve Eq. 2 for  $\Delta T_{CO_2+effects}$ , and using  $DT_{CO_2}=1.57^\circ C$ , we have

$$\Delta T_{CO_2+effects} = DT_{CO_2} \ln(CO_{2(2019)}/CO_{2(1950)})/\ln 2 = 1.57^\circ C \ln(412/311.8)/\ln 2 = 0.633^\circ C \quad (8)$$

Then the temperature rise due to the UHI+amplification feedback effect is

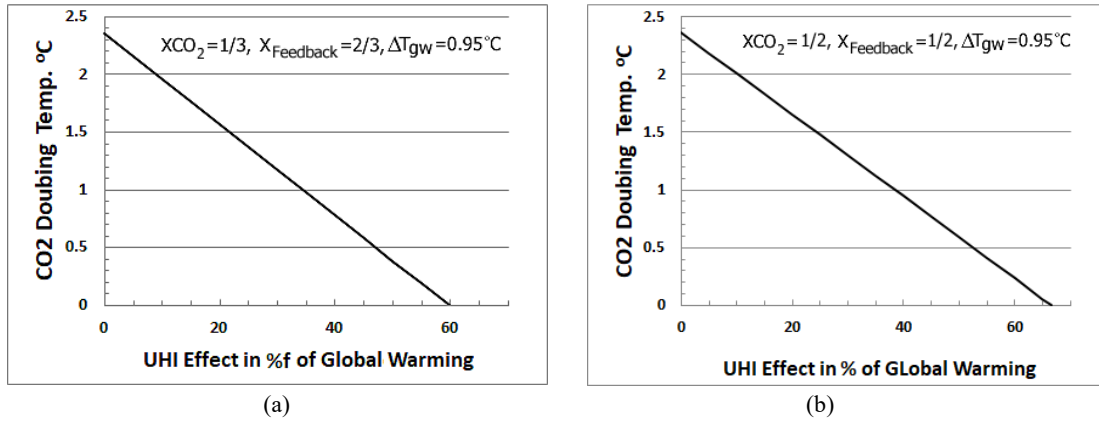
$$\Delta T_{UHI+Effects} = \Delta T_{gw} (f + X_{Feedback} f) = 0.95^\circ C (0.2 + 0.666(.2)) = 0.3165^\circ C \quad (9)$$

Therefore, the global warming increase is as required

$$\Delta T_{gw} = \Delta T_{CO_2+Effects} + \Delta T_{UHI+Effects} = 0.633^\circ C + 0.3165^\circ C = 0.95^\circ C \quad (10)$$

We can now lump in the other greenhouse gases in the f-factor. Therefore, our final equation is

$$DT_{CO_2} = \frac{\Delta T_{CO_2 + Effects} \{ (X_{CO_2} + X_{Feedback} (1 - f_{UHI + Other\_GHGs}) - f_{UHI + Other\_GHGs}) \}}{\ln(CO_{2(2019)}/CO_{2(1950)})/\ln 2} \quad (10)$$



**Figure 1** TCR CO<sub>2</sub> doubling temperature with UHI effect (%f) increasing influence with a)  $X_{Feedback}=2/3$ , b)  $X_{Feedback}=1/2$

Figure 1 provides an overview of the Equation 6 or 10 doubling temperature versus f when  $\Delta T_{gw}=0.95^\circ C$ ,  $X_{CO_2}=1/3$ ,  $X_{Feedback}=2/3$  or  $X_{CO_2}=1/2$ ,  $X_{Feedback}=1/2$ , with the CO<sub>2</sub> PPM values given in Equation 1.

We note the author feels from his work (Feinberg 2020) that a 1.2%-15% range is a likely estimate for the UHI effect on global warming.

#### 4. Model Findings and Implications

Using the model we can assess the McKittrick and Michaels 2007 contention that the net warming bias at the global level may explain as much as half the observed land-based warming. This would indicate in our model that the CO<sub>2</sub> doubling temperature would diminish between 0.39°C to 0.59°C according to Equation 6 depending on the feedback proportion estimated of  $X_{Feedback}=2/3$  or  $X_{Feedback}=1/2$  respectively (see Figure 1) and that is treating the other GHGs as negligible. Even transforming these TCR values to possible ECS values with a high multiplication factor of 1.65, would not be enough. Therefore, if this were the case, we see that the CO<sub>2</sub> effect would essentially breakdown. Such a contention would promote pushback as it has (see McKittrick Website). Although it is less likely to be that high in magnitude, it does suggest that there is a reasonable probability we should not restrict our focus to going down one basic path with a focus primarily on CO<sub>2</sub> goals. This path is likely risky if it turns out the McKittrick and Michaels work is reasonably accurate along with the many other authors cited in the introduction including this author. We see that one cannot guarantee with 100% probability that only one main CO<sub>2</sub> path is correct, as a lack of UHI albedo guidelines suggest in the meetings with world leaders and say IPCC suggestions/guidelines. That is, there are currently are no world-wide goals for UHI warming rectification. Furthermore, we have to question somewhat CO<sub>2</sub> doubling theory as other GHGs play a reasonably significant role, perhaps say 20 percent of GW. At some point, we need to ask the question given the difficulty of assessing a large attrition factor A, is CO<sub>2</sub> doubling theory a valid premise.

It is unclear why the many authors' findings have not been influential enough to encourage more authors to worry about UHIs. We have of course minimal suggestions of cool roofs, yet there is very little on-going coordinated global effort to make such changes. Although some changes have been encouraged, we continue for the most part to use worst case colors for our roads and roofs, and allow unreflective architecture into our cities and ignore many other mitigating urban choices. It is important to recognize that we need a better safe than sorry policy by having goals for both CO<sub>2</sub> and the UHI effect. Given the uncertainty in all our models, it seems important for many authors and those associated with the IPCC to incorporate some level of the UHI effect into their models and consider suggesting albedo guidelines in the near future in world-wide global warming meetings.

#### 5. Summary

We have provided a short review of CO<sub>2</sub> doubling theory and how its doubling temperature changes due to the UHI effect on global warming. We have illustrated a method on how to incorporate UHI amplification effects into CO<sub>2</sub> doubling theory using the footprint method. Both the magnitude of CO<sub>2</sub> and the UHI effect are obviously hard to estimate on how much influence each has on global warming anomalies along with the influences of other greenhouse gases. Therefore, it is imperative to adopt a policy that we have two main root causes of global warming (as suggested in Table 1). Push-back on the UHI effect possible influence on global warming is really unreasonable at this late time, especially given the uncertainties in root causes and amplification effect, its importance, and the numerous authors that have now presented their findings. In our paper (Feinberg 2020) we provided suggestions related to the Urban Heat Island effect which we would like to include here.

- *We stress that the organization leading the climate change effort (NASA, IPCC authors...) are the only ones capable of promoting such albedo goals for cities and roads. Therefore, whether it is just for UHI known health reasons or due to studies that have found significance, we strongly urge that governing bodies start setting albedo goals*

Therefore our suggestions remain (Feinberg 2020):

- Creating goals to include the need for albedo enhancements in existing UHIs and roads
- A directive for future albedo design requirements of city and roads
- Recommend an agency like NASA be tasked with finding applicable solutions to cool down UHIs.
- Recommendation for cars to be more reflective. Here although world-wide cars likely do not embody much of the Earth's area, recommending that all new manufactured cars be higher in reflectivity (e.g., silver or white) would help raise awareness of this issue similar to electric cars that help improve CO<sub>2</sub> emissions

## References

- Arrhenius S. (1896) , On the influence of carbonic acid in the air upon the temperature of the ground. The London, Edinburgh, and Dublin Philosophical Magazine and Journal of Science, 41 (251): 237–276. doi:10.1080/14786449608620846, also in Publications of the Astronomical Society of the Pacific. 9(54) ,(1897), 14 doi:10.1086/121158.
- Dessler A. E. ,Zhang Z., Yang P., Water-vapor climate feedback inferred from climate fluctuations, 2003–2008, *Geophysical Research Letters*, (2008), <https://doi.org/10.1029/2008GL035333>, also see The physics of climate change, by Dessler, Youtube, Sept 25, 2015
- Feddema, J. J., Oleson K. W., Bonan G. B., Mearns L. O., Buja L. E., Meehl G. A., and Washington W. M., (2005), The importance of land-cover change in simulating future climates, *Science*, **310**, 1674– 1678, doi:10.1126/science.1118160
- Feinberg, A, (2020) Urban Heat Island Amplification Estimates on Global Warming Using an Albedo Model, Preprint: *Vixra: 2003.0088*, DOI: 10.13140/RG.2.2.32758.14402/4, [https://www.researchgate.net/publication/339777749\\_Urban\\_Heat\\_Island\\_Amplification\\_Estimates\\_on\\_Global\\_Warming\\_Using\\_an\\_Albedo\\_Model](https://www.researchgate.net/publication/339777749_Urban_Heat_Island_Amplification_Estimates_on_Global_Warming_Using_an_Albedo_Model), *Submitted Climate Change J.*
- Hausfather Z., (2018) How Scientist Estimate Climate Sensitivity, in Carbon Brief*, <https://www.carbonbrief.org/explainer-how-scientists-estimate-climate-sensitivity>
- Huang Q. , Lu Y. (2015), Effect of Urban Heat Island on Climate Warming in the Yangtze River Delta Urban Agglomeration in China, *Intern. J. of Environmental Research and Public Health* 12 (8): 8773
- IPCC Special Reports, Global Warming of 1.5°C (2018), 2019 Refinement of the 2006 IPCC guidelines for National Greenhouse Gas Inventories, <https://www.ipcc.ch/2019/>, 2007 IPCC Fourth Assessment Report, AR5 Synthesis Report, Climate Change 2014, Latest Meeting - UN Climate Change Conf. COP 25.
- IPCC, 2013-2014: Summary for Policymakers. In: Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change [Stocker, T.F., D. Qin, G.-K. Plattner, M. Tignor, S.K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex and P.M. Midgley (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA.
- Jones, P. D., D. H. Lister, and Q.-X. Li, 2008: Urbanization effects in large-scale temperature records, with an emphasis on China. *J. Geophys. Res.*, 113, D16122, doi: 10.1029/2008JD009916.
- Manabe, S., and R. T. Wetherald (1967), Thermal equilibrium of atmosphere with a given distribution of relative humidity, *J. Atmos. Sci.*, 24, 241–259.
- Manabe S. and Wetherald R., (1975), The effects of doubling the CO<sub>2</sub> Concentration on the Climate of a General Circulation Model, *J. of Atmospheric Sciences*, V 32, No. 1
- McKittrick R., Michaels P. (2007) Quantifying the influence of anthropogenic surface processes and inhomogeneities on gridded global climate data, *J. of Geophysical Research-Atmospheres*

- McKittrick Website Describing controversy: <https://www.rossmckittrick.com/temperature-data-quality.html>
- NASA CO<sub>2</sub> Database used for 1951, <https://data.giss.nasa.gov/modelforce/ghgases/fig1A.ext.txt> and for 2019 <https://climate.nasa.gov/vital-signs/carbon-dioxide/>
- Plass, G., Fleming J., and Schmidt G., (1959) Carbon Dioxide and the Climate, *American Scientist*, 98(1) 58-62. An abridged reprint of Plass's Scientific American paper with commentary by Fleming and Schmidt
- Randall, D. A. et al. (2007), Climate models and their evaluation, in *Climate Change 2007: The Physical Science Basis. Contributions of Working Group I to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change*, edited by S. Solomon et al., pp. 591–662, Cambridge Univ. Press, Cambridge, U.K.
- Ren, G.; Chu, Z.; Chen, Z.; Ren, Y. (2007), Implications of temporal change in urban heat island intensity observed at Beijing and Wuhan stations. *Geophys. Res. Lett.*, 34, L05711, doi:10.1029/2006GL027927
- Ren, G.-Y., Z.-Y. Chu, J.-X. Zhou, et al., (2008): Urbanization effects on observed surface air temperature in North China. *J. Climate*, 21, 1333-1348
- Satterthwaite D.E., F. Aragón-Durand, J. Corfee-Morlot, R.B.R. Kiunsi, M. Pelling, D.C. Roberts, and W. Solecki, (2014): Urban areas. In: *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change (IPCC)*
- Schmidt G. A. (2009), Spurious correlations between recent warming and indices of local economic activity, *Int. J. of Climatology*
- Stone B., (2009), Land use as climate change mitigation, *Environ. Sci. Technol.*, 43( 24), 9052– 9056, doi:10.1021/es902150g
- van Nes E. H., Scheffer M., Brovkin V., Lenton T. M., Ye H, Deyle E. and Sugihara G., *Nature Climate Change* 2015. dx.doi.org/10.1038/nclimate2568
- Yang, X.; Hou, Y.; Chen, B. (2011), Observed surface warming induced by urbanization in east China. *J. Geophys. Res. Atmos*, 116, doi:10.1029/2010JD015452
- Zhang, X., Friedl, M. A., Schaaf, C. B., Strahler, A. H. & Schneider, A. 2004 The footprint of urban climates on vegetation phenology. *Geophys. Res. Lett.* 31, L12209
- Zhao, Z.-C., 2011: *Impacts of urbanization on climate change. in: 10,000 Scientific Difficult Problems: Earth Science, 10,000 scientific difficult problems Earth Science Committee Eds., Science Press, 843-846.*
- Zhou D. , Zhao S. , L. Zhang, G Sun and Y. Liu, 2015, The footprint of urban heat island effect in China, *Scientific Reports*. 5: 11160